

Report to: (Governing Body/Committee): Primary Care Commissioning Committee

Date of Meeting: 4th February 2020

Subject: Delegated Commissioning Audit Final Report and Action Plan

Presented by: Julie Wilson, Assistant Director Programme Delivery and Co-Commissioning

**Agenda Item 08**

**STATUS OF THE REPORT *(auto check relevant box****)*

For Information [x]

For Discussion [ ]

For Approval / Ratification [ ]

Report Exempt from Public Disclosure [x]  No [ ]  Yes

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| --- | --- |
| **PURPOSE OF REPORT:** | In line with NHS England and Improvement requirements, an audit of delegated commissioning arrangements was undertaken during 2019/20. The audit covered the following part of the scope set out within the national framework:***Contract Oversight and Management Functions.*** *Generally these will be those relating to the accessibility and quality of GP services, including but not limited to ensuring relevant national and locally applied contract terms in relation to;* *i. GP Practice opening times and the appropriateness of sub contracted arrangements* *ii. Managing patient lists and registration issues (for example, list closures, targeted list maintenance, out of area registration, special allocation schemes)* *iii. Identification of practices selected for contract review to assure quality, safety and performance, and the quality of the subsequent review and implementation of outcomes* *iv. Decisions in relation to the management of poorly performing GP practices and including, without limitation, contractual management decisions and liaison with the CQC where the CQC has reported non-compliance with standards (but excluding any decisions in relation to the performers list)* *v. Overall management of practice: (1) mergers (2) closures* The audit concluded that there was substantial assurance and the full report, recommendations and action plan is attached. There were only two recommended actions identified; one low and one medium priority as follows:* Low Priority: Ensure this Committee receives a summary of the annual e-DEC (online declarations of contract compliance). This is referenced within the NHS England update report today and a summary will be brought to the next meeting
* Medium Priority: Ensure that there is annual review and assurance regarding any outsourced activities relating to list management (in particular in relation to Capita services). We will be working with NHSE/I to ensure that this assurance is received and shared with this Committee.

The detail of the actions can be found on Page 9, and we are currently on track to complete all of these within the timescales specified. |
| **Recommendations:** | The PCCC is asked to note the attached final audit report. |
| **Committee Process and Assurance:** | The report will be shared with the CCG’s Information Governance and Audit Committee. |
| ***Implications:*** |  |
| **Risk Assurance Framework Implications:** | The report provides assurance regarding potential risks relating to delegated commissioning.  |
| **Legal Implications:** | N/A |
| **Data Protection Impact Assessment implications (DPIA):** | Are you implementing a new system, data sharing arrangement, project, service redesign or changing the way you work? | **No** |
| If yes to the above – have the DPIA screening questions been completed? | Choose an item. |
| Does this project involve the processing of personally identifiable or other high risk data? | Choose an item. |
| If yes to the above has a DPIA been completed and approved? | Choose an item. |
| **Equality Impact Assessment implications:** | An Equality Impact Analysis/Assessment is not required for this report [x] An Equality Impact Analysis/Assessment has been completed and approved by the EIA Panel. As a result of performing the analysis/assessment there are no actions arising [ ]  from the analysis/assessmentAn Equality Impact Analysis/Assessment has been completed and there are actions arising [ ]  from the analysis/assessment and these are included in section \_\_\_\_ of the enclosed report |
| **Finance Implications:** | N/A |
| **Quality Implications:** | This report details a positive impact on quality. [ ] The proposal put forwards, if agreed, would have a positive impact in terms of enabling providers to meet safe staffing targets. Retention and recruitment is forecast to be improved, which would have a positive impact on the safe delivery of local services.This report details a neutral impact on quality. [x] The report will not make any impact on experience, safety or effectiveness. This report details a negative impact on quality. [ ] The report details the need for budgets to be significantly reduced. It is clear that the report summarises that quality will be negatively impacted by this as decisions to remove services/provide a lower level of provision to solely meet the ‘must do’s’ of provision in terms of meeting people’s needs has to be made. It is forecast that service user experience will be negatively impacted by this position. |
| **Procurement Decisions/Implications *(Care Contracting Committee):*** | N/A |
| **Engagement Implications:** | N/A |
|  |  |
| **Conflicts of Interest**  | *Have all conflicts and potential conflicts of interest been appropriately declared and entered in registers which are publicly available?* [x]  Yes [ ]  No |
| **Links to CCG’s Strategic Objectives** | [ ]  Sustainable services [ ]  Empowering people[ ]  Supporting communities [x]  Delivering a fit for purpose organisation |
| **NHS Constitution:** | <https://www.gov.uk/government/publications/the-nhs-constitution-for-england> |
| **Appendices / attachments** | Final Audit Report |